

**Office of the Special Officer And Competent Authority,
(IMA and Other Scam Cases)
3rd Floor, Podium Block, Vishweswaraiah Tower,
Bengaluru-560001.**

Annexure -1

As per RTI Act 2005 4(1) B (i)

Organization, Functions and duties

This office was established under section 5 (1) of THE KARNATAKA PROTECTION OF INTEREST OF DEPOSITORS IN FINANCIAL ESTABLISHMENTS ACT 2004 (Karnataka Act 30 of 2005) for the purpose of settlement of claims arising out of the " IMA and it's group of entities' "financial scam .The financial establishment viz, M/s I Monetary Advisory (IMA) and its group of entities was involved in the fraudulent transactions and failed to return deposits/investments to their depositors/Investors. Hence the Government of Karnataka decided to initiate action as per the provisions specified under the KPIDFE Act.

As per Government notification no.RD 20 GRC 2017 (P-2) dated 24.07.2019, Regional Commissioner, Bengaluru Division Bengaluru was appointed as the Competent Authority.

It was reported that the properties belonging to the said financial entities are spread over various districts and depositors are scattered throughout the State of Karnataka, and possibly in other states also. Hon'ble High Court of Karnataka directed to appoint an authority above the rank of Deputy Commissioner of the district as the Competent Authority for the Fraudulent Financial Institutions viz, M/s I Monetary Advisory (IMA) and its group of entities.

Hence vide notification number RD 20 GRC 2017(P2) Dated 13.01.2020 Government appointed Special Officer and Competent Authority, IMA to the newly created post for investigation of IMA case as the 'Competent Authority' in respect of M/s I Monetary Advisory and its group of entities.

Further, this authority is entrusted with the settlement of three more financial scams viz INJAZ international, Vikram investments and Agrigold.

Modality of operations regarding financial scams (Duties and powers of the Competent Authority as per KPIDFE ACT 2004 (6)(1):

(1) On receipt of order of appointment, the Competent Authority shall take such necessary actions as it is necessary or expedient for taking physical possession of all the monies and assets of the concerned financial establishment expeditiously

and the Competent Authority shall have all the powers which are necessary for the aforesaid purpose.

(2) Without prejudice to the generality of the powers vested under sub-section (1), the Competent Authority may carry out the purposes of this Act and shall be entitled to,-

(a) require assistance of any police authority or any other authority or person and on such requisition it shall be the duty of the police authority or such other authority or person to extend necessary assistance;

(b) open bank accounts in any scheduled commercial bank and credit all monies realized and operate the bank accounts while dealing with the money received in his capacity as Competent Authority;

(c) require any person believed to be in possession of control over any money or assets of the financial establishment, to furnish necessary information, and to hand over possession of such assets to the Competent Authority and such person shall comply with the requisition without any loss of time;

(d) appoint legal practitioner or chartered accountant or any other person whose services are necessary for taking possession of assets and realization of the assets of the Financial Establishment;

(e) sell, receive, transfer, endorse, negotiate, or otherwise deal with any marketable security or negotiable instrument belonging to or in the control of the Financial Establishment and give proper discharge for the same;

(f) sell, transfer or otherwise realise any movable or immovable property belonging to or in the control of the Financial Establishment or sell the property belonging to or in the control of the Financial Establishment, by public auction or with the prior approval of the Special Court by private arrangements;

Provided that the perishable items of assets shall be sold by public auction as soon as the Competent Authority deems fit.

(g) make payment as per the orders passed by the Special Court from out of the bank accounts, and

(h) do all and every acts which would be necessary for the speedy realization of the assets of the Financial Establishment.

Explanation- For the purpose of this section the expression "Financial Establishment" includes the directors, partners, promoters, managers or member of said establishment or any other person whose property or assets have been attached under section 3 of KPIDFE Act 2004.

How citizens can submit claims:

- The aggrieved citizens (claimants) may on line submit the records pertaining to their claims in the portal created for the express purpose of

collecting data regarding claims so that the same may be verified and resolved as per Court orders.

- The help desk numbers and contact numbers are provide in the web site for the benefit of claimants.
- A list of frequently Asked questions is also provided in the web site for further information.
- Claimants can submit on line application in any one of the following places that is convenient for them.
 - a. Government approved service kiosks like Bengaluru One (Bed-Ridden persons were provided door step service), Common Service Centers (CSCs), Karnataka One and Atal Jana Snehi Kendras.
 - b. Office of the Competent Authority
 - c. Online.

Details of posts existing in the office of the SO&CA (IMA) :

Sl. No	Designation/name of the post	No . of po sts.	Method of recruitment
1	Special Officer and Competent Authority, IMA	01	Posting from the Government
2	Joint Secretary	01	Deputation from Secretariat or other Departments
3	Retired District judge	01	Consolidated pay basis
4	Retired Assistant/Deputy General manager from Scheduled commercial banks/IDBI/RBI	01	
5	Assistant Commissioner	01	Deputation from concerned Department
6	Assistant Controller(SAD)	01	
7	Staff from SIT	02	
8	Legal Officer (For the Special Court)	01	Deputation from Law department/prosecution dept.
9	Thahsildars	02	Deputation from concerned Department
10	Audit officers	01	
11	Shirastedar	02	
12	Accounts Superintendent	02	
13	Junior/Senior Assistant	02	

14	FDC/SDC	02	
15	Typist/DEO	04	Outsource
16	Group D	04	
17	Public Relation Officer	01	Contract /Out source
18	Personal Secretary to the Competent Authority and Joint Secretary	02	
	Total	31	


Special Officer and Competent Authority
(IMA and other scams) 

Annexure -2

As per RTI Act 2005 4(1) B (ii)

Powers and duties of officers and employees;

Sl. No	Designation/name of the post	Duties and Powers
1	Special Officer and Competent Authority, IMA	Head of the office and in charge of all the official activities carried out including administrative, financial, judicial and disciplinary powers. Responsibilities of carrying out the settlement of claims arising out of financial scams related to IMA, INJAZ INTERNATIONAL, VIKRAM INVESTMENTS and AGRIGOLD as per KPIDFE ACT 2004 (6)(1).
2	Joint Secretary	Assisting the Competent Authority in carrying out the various financial and administrative activities of the office and claims settlement of scams. Over all supervision of accounts and administration in matters like salary, tender, budget, general expenditures, outsourcing/contractual appointments, bank realization and reconciliation, RTI, legislature questions, establishment matters like advances, allowances and disciplinary proceedings, policy matters, correspondence and statistics of the o/o the Special Officer and Competent Authority. Also entrusted with the matters of ad-interim attachments, recovery, investigation, court cases, claims, forensic auditing and public grievances related to IMA, INJAZ International, Vikram Investments and Agri- Gold Scams.
3	Assistant Commissioner	Assisting the Joint Secretary in carrying out various administrative activities of the office and scam claims settlements. Supervision of administrative matters like man power, RTI, legislature questions, establishment matters like advances, allowances and disciplinary proceedings, policy matters, correspondence and statistics of the o/o the Special Officer and Competent Authority. Also to assist the JS regarding matters of ad-interim attachments, recovery, investigation, court cases, claims, forensic auditing and public grievances related to IMA, INJAZ International, Vikram Investments and Agri- Gold Scams.
4	Tahsildars	To work under the supervision of Assistant Commissioner in handling financial scams. To supervise various assigned activities pertaining to ad-interim attachments as per KPIDFE act, preparation of new proposals, valuation, affixture, RoR entry,

		<p>maintenance of attached assets including protection and revenue collection, attending to grievances arising out of ad-interim attachment of assets. And recovery (valuation, auction, seizure and grievances pertaining to recovery) co coordinating with investigation agencies like Central Bureau of Investigation, Enforcement Directorate, Criminal Investigation Department, Serious Fraud Investigation Office, Special Investigation Team and agencies like RBI, SEBI, ROC, RCS etc. and various Court issues related to IMA, INJAZ International, Vikram Investments and Agri-Gold Scams.</p> <p>Also carrying out e- authentication for claims from outside of the Karnataka State as and when required.</p>
5	Shirastedars	<p>To work under the supervision of tahsildars. Entrusted with the administrative matters like hiring man power, RTI, legislature questions, establishment related duties like disciplinary proceedings, e-office coordination, policy matters, inward/outward and investigation and miscellaneous public grievances. Shirastedar entrusted with court duties is to attend works related to High Court cases, Supreme Court cases and Special court (KPID) petitions. With respect to IMA, INJAZ International, Vikram Investments and Agri-Gold Scams.</p>
6	Staff from SIT	<p>DySP along with the Inspector :</p> <p>Responsible for various aspects of recovery of assets, ad-interim attachments, investigation aspects of the financial scams entrusted.</p> <ol style="list-style-type: none"> i. Ensure safe custody and maintenance of all movables which are under the interim or absolute attachment. ii. Collect physical copies of charge sheets, reports from the Investigating Agencies (IAs) i.e., CID/CBI, ED, etc., maintain all e-office and study these to: <ol style="list-style-type: none"> 1. Understand the modus operandi of the scam. 2. Identify supporting evidences to substantiate the section 5(2) petitions, from these documents and share with the Legal section. 3. Identify those persons who have played significant roles in business operations of the financial establishment and whose personal assets could be attached under section 3(2), without them being the formal office bearers in the management body of the Financial Establishments. iii. Interact with IOs to:

		<ul style="list-style-type: none"> i. Understand the stage of the investigation, understand and raise any issues hindering the progress of the investigations. ii. Collect depositor's data in the required format, so as to enable the competent authority to call claims. iii. Collect the information regarding properties which are liable for attachment under section 3(2) of the Act.
7	Retired District Judge.	<p><u>Legal Advisor:</u></p> <ul style="list-style-type: none"> a. Provide legal opinion of the complicated matters as well as all other matters referred by the Competent Authority. b. Study the case files including case sheets of the Court as well as all the background papers for each case including the charge sheets, reports of the forensic auditors, government correspondence, back ground papers of section 3(2) notification etc., to ensure preparation of effective petitions/applications and defence for all Court matters: c. Prepare/scrutinize the drafts of the petitions/affidavits /objections to be filed in the court. d. Monitor the advocates' work in office and courts, so that matters requiring action are flagged in a timely manner through the e-office. e. Monitor the legal section and the Dy SP for maintenance of required records and files in e-office. f. Follow up with the Law Department and Head of the Legal Cell of the Revenue Department on matters like files sent for legal opinion, permissions to file appeals, files sent for appointment of advocates etc.
8	Legal Officer (For the Special Court)	<p><u>Advocates:</u></p> <ul style="list-style-type: none"> a. Study the case files including case sheets of the Court as well as all the background papers for each case including the charge sheets, reports of the forensic auditors, government correspondence, back ground papers of section 3(2) notification etc., to prepare effective cases and defense for all the Court matters.

		<p>b. Prepare the drafts of the petitions/affidavits/objections to be filed in the court and send these through e-office to the login of the LA for scrutiny before submitting to the Competent Authority.</p> <p>c. Present the cases effectively in the Court.</p> <p>d. Regularly update the status and court directions for each case in the e-office and flag issues for necessary actions.</p>
9	Retired Assistant /Deputy General manager from Scheduled commercial banks/IDBI/RBI	<p>To look after issues like recovery of assets, valuation, auction, seizure and claim related issues like verification, payment, application etc.</p> <p><u>a. Interact with Forensic Auditors/ Auditors:</u></p> <p>i. To satisfy about progress of audit and raise any concerns in these regard.</p> <p>ii. To collect evidences from their report of fund trail to substantiate the section 5(2) petitions in the Court.</p> <p>iii. To ensure timely supply of bank statement data to them with the help of IOs or the Competent Authority, so as to facilitate calling, verifying and settling claims.</p> <p><u>b. Identify the following assets with regard to the accused financial establishments.</u></p> <ol style="list-style-type: none"> 1. Its assets and liabilities from the books of accounts or returns or balance sheets, etc., 2. Personal assets of its office bearers from the information available with various regulatory authorities. <p><u>c. Do the following with regard to liquidation of assets:</u></p> <p>i. Study the processes of other authorities under SARFAESI or Companies Act.</p> <p>ii. Provide inputs to the officer- in-charge</p> <p>iii. Raise timely concerns.</p>
10	Assistant Controller(SAD)/ Accounts officer	<p>Assisting the Joint Secretary in carrying out the various financial activities of the office and claims settlements of scams.</p> <p>Over all supervision of accounts in matters like salary, tender, budget, geneal expenditures, out sourcing/contractual appointments, bank realization and reconciliation etc.</p> <p>Also to assist the JS regrding matters of investigation, court cases, claims, and forensic auditing related to IMA, INJAZ International, Vikram Investments and Agri- Gold Scams.</p>

		Powers:
11	Audit officers	To work under the supervision of Assistant Controller regarding salary, outsourcing/contractual hiring of man power and vehicles, tender, budget, general expenses, bank realizations, statistics. Also to assist thahsildars in the valuation and auction, seizure, grievances related to recoveries, and miscellaneous works as required.
12	Accounts Superintendent	AS-1 : Scrutiny of salary bills, tender documents, ,budget, geneal expenditures, bank realization and reconciliation, AS-2 : Claims verification ,claims payment,application,grievances relating to claims, forensic auditing duties etc.
13	Junior/Senior Assistant	<p>Is responsible for the work entrusted to him/her.</p> <ol style="list-style-type: none"> 1. To acknowledge receipts 2. To examine and put up suitable notes and drafts on cases promptly and submit them to the next higher authority after properly referencing and paging them; 3. To ensure that the notes are submitted on files in such a manner that they do not end at the bottom of the note sheet, but are appropriately spilled over on the next page, so as to enable the officers to give their orders below the note and in continuation thereof; 4. To ensure that the instructions contained in paragraphs are followed strictly in the matter of noting and drafting; 5. To enter movement of existing as also new files in the FMS; 6. To maintain the electronic folders created and used by him on the computer, for storing of various standing orders, precedents, etc., using various applications like MS Word, Excel etc. He shall protect them by appropriate use of password. He/She shall delete unnecessary files from time to time. 7. To maintain properly the standing guard files and other necessary registers; 8. To keep papers and files in tidy condition; 9. To ensure that 'SuS' files are properly marked using FMS and retrieved on the appropriate future date for issue of reminders or review etc. File to be entered in call book should also be marked using FMS and revived for action at regular intervals; 10. To ensure that reports/returns if any, are received/submitted at the appropriate time; 13. To maintain data on all files created and monitor their disposal in FMS; 11. To maintain the books/publications connected with his/her

		work amended and upto-date and 12. To generally assist the higher authorities in whatever manner he may desire in discharging official duties..
14	First Division Assistant	<ol style="list-style-type: none"> 1. Maintaining case register of letters received, 2. Filing letters in the existing files or creating new files as and when required. After thoroughly going through the letter, putting up in the file with brief note and opinion as per the subject matter and submitting the file to the next higher authority. 3. Writing notes in unambiguous and clear terms in accordance with the Rules 4. Having knowledge regarding relevant rules, regulations circulars, GOs, and priorities pertaining to the section allocated. and to work accordingly. 5. Timely disposal of letters and files received as per procedure. 6. Maintaining files and registers and other documents pertaining to the section allocated. 7. Preparing drafts and fair copies 8. Duties of store in charge and cash section as per orders. 9. Maintaining service registers, stamp registers, stock books etc. 10. Any other work assigned by the higher authority.
15	Second Division Assistant	<ol style="list-style-type: none"> 1. Maintaining case register of letters received. 2. Filing letters in the existing files or creating new files as required. After thoroughly going through the letter, putting up in the file with brief note and opinion as per the subject matter and submitting the file to the next higher authority. 3. Writing notes in unambiguous and clear terms in accordance with the Rules 4. Having knowledge regarding relevant rules, regulations circulars, GOs, and priorities pertaining to the section allocated. and to work accordingly. 5. Timely disposal of letters and files received as per procedure. 6. Maintaining files and registers and other documents pertaining to the section allocated. 7. Preparing drafts and fair copies 8. Any other work assigned by the higher authority.
16	Typist/DEO	<p>Works under the guidance and supervision of the officer in charge. Should generally attend to data entry work. Should have knowledge of categorization of documents, savings and retrieval for future use.</p> <p>They are responsible for :</p>

		<ol style="list-style-type: none"> 1. Maintaining the printers, computers and peripherals in good and working condition. 2. Supervising repairs / up dates for the equipments. 3. Attending to phone calls, daily e-mails and taking print outs. 4. Typing works. 5. Maintaining records as per instructions. 6. Completing typing assignments quickly and safe keeping of the files sent for typing. 7. Typing in both Kannada and English. 8. Taking notes and preparing meeting proceeding drafts. 9. To maintain stores, if required. 10. Any other duty assigned by the higher authority.
17	Group D	<ol style="list-style-type: none"> 1. To work as per the work being carried out in the office 2. Opening and closing the office in time. 3. Keeping the office and premises clean. 4. Works related to treasury and bank, if assigned. 5. Transportation of tappals, stationaries and other items. 6. Locking the office after office hours. 7. To work as night watchman if required. 8. Touring with the officer in charge/staff if required. 9. Any other work assigned by the higher officer/officer in charge.
18	Public Relation Officer	To work as Public Information Officer under RTI, attending to claimants' queries and grievances regarding claims received through whatsapp, e-mail, help desk or in-person.
19	Personal Secretary to the Competent Authority and Joint Secretary	Attending to all directions of the officer-in-charge, telephone calls, visitors, maintaining public relation, overall supervision of the work and staff, keeping an accurate list of engagements, meetings etc., preparing the fair copies of the drafts/letters. Monitoring e office. Assisting the officer-in-charge in the smooth functioning of the office.
20	Drivers	<p>Works under the supervision and guidance of the officer in charge.</p> <p>Duties and responsibilities:</p> <ol style="list-style-type: none"> 1. Driving the vehicle assigned, maintaining the log and parking the vehicle in the designated place. 2. Maintaining the vehicle in good condition and attending to repairs if any, after obtaining RTO certification before and after repairs.

		<p>3. Attending to retreading, servicing, tax exemption and insurance.</p> <p>4. Filling fuel, oil etc. and maintaining records.</p> <p>5. To be present in time for tours as per instructions of the officer in charge.</p> <p>6. Keeping stock of the old spare parts after repairs, till auction/ disposal</p> <p>7. Any other work assigned by the officer in charge in general and particularly while the vehicle is under repair.</p>
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Special Officer and Competent Authority
(IMA and other scams) 

Annexure -3

As per RTI Act 2005 4(1) B (iii)

The procedure followed in the decision making process, including channels of supervision and accountability;

The Special Officer and Competent Authority is the head of the office and final authority on all the official activities. Various sections of the office are following the heierarchial pattern as mentioned below regarding file movement,supevision and decision making process.

A.Accounts Section:

Basic Head	Officer-3	Officer-2	Officer-1	Shirastedar /Accounts Superintedent	Case worker	Subject
Special officer & Competent Authority	J S	ACTR	AO	AS-1	-	SALARY
			AO	AS-1	-	TENDER
			AO	AS-1	-	BUDGET
			AO	AS-1	-	GENERAL EXPENSES
			AO	AS-1	-	OUT SOURCING /CONTRAC TURAL
			AO	AS-1	-	BANK REALISATION AND RECONCILIATION

B.Administration Section:

Basic Head	Officer-3	Officer-2	Officer-1	Shirastedar /Accounts Superintedent	Case worker	Subject
Special officer & Competent	J S	AC	--	SHR-1	-	MAN POWER
			--	SHR-1	CW-4	RTI
			--	SHR-1	-	LEGISLATURE QUESTIONS
			--	SHR-1	-	GENERAL (NON-EST)
			--	SHR-1	-	ESTABLISHMENT
			--	SHR-1	--	ADVANCE
			--	SHR-1	--	ALLOWANCE

Authority			--	SHR-1	CW-2	DISCIPLINARY PROCEEDINGS
			--	SHR-1	-	POLICY MATTERS
			--	SHR-1	CW-4	INWARD/OUTWARD
			AO	--	--	STATISTICS
			-	SHR-1	CW-2	E office co ordination
			-	-	-	TO DO LIST

C. IMA SECTION:

Basic Head	Off ice r-3	Officer -2	Office r-1	Shirasteda r /Accounts Superinte dent	Case worke r	Subject
Special Officer and Competent Authority	J S	AC/DY SP	TAH- 2/INS P	--	CW-5	<p>AD-INTERIM ATTACHMENTS (KPID):</p> <p>NEW PROPOSAL</p> <p>VALUATION</p> <p>AFFIXTURE</p> <p>RECORDS OF RIGHTS ENTRY</p> <p>MAINTAINENCE (PROTECTION, MAINTENANCE & REVENUE COLLECTION)</p> <p>GRIEVANCES (RELATING TO AD-INTERIM ATTACHMENT ASPECTS)</p> <p>MISCELLANEOUS</p>

Special Officer and Competent Authority	JS	AC/DY SP	TAH-1/INSP	AO/DGM	CW-1	RECOVERY : VALUATION ACUTION SEIZURE (BEFORE S3) GRIEVANCES (RELATING TO RECOVERY ASPECTS) Special Officer and Competent Authority MISCELLANEOUS
Special Officer and Competent Authority	JS	AC/DY SP	TAH-2/INSP	--	CW-5	INVESTIGATING AGENCY: CBI ENFORCEMENT DIRECTORATE CRIMINAL INVESTIGATING AGENCY SERIOUS FRAUD INVESTIGATION OFFICE SPECIAL INVESTIGATION TEAM MISCELLANEOUS OTHERS LIKE ROC, RBI, SEBI, RCS.
Special Officer and Competent Authority	JS	AC/DY SP	TAH-2/LA/LO	SHR-2	CW-3	COURT CASES: HIGH COURT, SUPREME COURT OTHER COURTS SPECIAL COURT PETITIONS:

						SECTIONS 5(2),13,7(1),8,11,MISC.
Special Officer and Competent Authority	JS/ AC	ACTR/ DGM	--	AS-2	--	CLAIM: 1. VERIFICAITON 2. PAYMENT 3. APPLICATION 4. GRIEVANCES (RELATING TO CLAIMS) 5. MISCELLANEOUS
	JS/ AC	ACTR/ DGM	--	AS-2	FORE NSIC AUDIT OR	FORENSIC AUDIT
	JS	AC	TAH-2	--	CW-5	PUBLIC GRIEVANCES (MISCELLANEOUS)

D. INJAZ INTERNATIONAL SECTION:

Basic Head	Office r-3	Office r-2	Officer -1	Shirastedar /Accounts Superintendent	Case worker	Subject
Special Officer and Competent Authority	JS	AC/D YSP	TAH- 5/INSP	--	CW-4	<p>AD-INTERIM ATTACHMENT (KPID):</p> <p>NEW PROPOSAL</p> <p>VALUATION</p> <p>AFFIXTURE</p> <p>RECORDS OF RIGHTS ENTRY</p> <p>MAINTAINENCE (PROTECTION, MAINTENANCE & REVENUE COLLECTION)</p> <p>GRIEVANCES (RELATING TO AD-INTERIM ATTACHMENT ASPECTS)</p> <p>MISCELLANEOUS</p>

Special Officer and Competent Authority	J S	AC/D YSP	TAH-5/INSP	AO/DGM	CW-4	RECOVERY: VALUATION ACUTION SEIZURE (BEFORE S3) GRIEVANCES (RELATING TO RECOVERY ASPECTS) MISCELLANEOUS
Special Officer and Competent Authority	JS	AC/D YSP	TAH-5/INSP	--	CW-4	INVESTIGATING AGENCY: CBI ENFORCEMENT DIRECTORATE CRIMINAL INVESTIGATION DEPARTMENT SERIOUS FRAUD INVESTIGATION OFFICE SPECIAL INVESTIGATION TEAM MISCELLANEOUS OTHERS LIKE ROC, RBI, SEBI, RCS.
Special Officer and Competent Authority		AC/D YSP				COURT CASES : HIGH COURT, SUPREME COURT AND OTHER COURTS

	JS		TAH-5/LO/LA	SHR-2	CW-3	SPECIAL COURT PETITIONS (KPID UNDER SECTIONS 5(2), 13, 7(1), 8, 11 AND MISL.
Special Officer and Competent Authority	JS/AC	ACTR	--	AS-2	--	CLAIM: 1. VERIFICATION 2. PAYMENT 3. APPLICATION 4. GRIEVANCES (RELATING TO CLAIMS) 5. MISCELLANEOUS
	JS/AC	ACTR	--	AS-2	FORENSIC AUDITOR	FORENSIC AUDIT
	JS	AC	TAH-5	--	CW-4	PUBLIC GRIEVANCES (MISCELLANEOUS)

E.VIKRAM INVESTMENTS SECTION

Basic Head	Office r-3	Office r-2	Officer -1	Shirasteda r /Accounts Superintend ent	Case worker	Subject
Special Officer and Competent Authority	J S	AC/D YSP	TAH- 5/INSP	--	CW-4	<p>AD-INTERIM ATTACHMENT (KPID):</p> <p>NEW PROPOSAL</p> <p>VALUATION</p> <p>AFFIXTURE</p> <p>RECORDS OF RIGHTS ENTRY</p> <p>MAINTAINENCE (PROTECTION, MAINTENANCE & REVENUE COLLECTION)</p> <p>GRIEVANCES (RELATING TO AD-INTERIM ATTACHMENT ASPECTS)</p> <p>MISCELLANEOUS</p>

Special Officer and Competent Authority	J S	AC/D YSP	TAH- 5/INSP	AO/DGM	CW-4	RECOVERY: VALUATION ACUTION SEIZURE (BEFORE S3) GRIEVANCES (RELATING TO RECOVERY ASPECTS) MISCELLANEOUS
Special Officer and Competent Authority	JS/AC	AC/D YSP	TAH- 5/INSP	--	CW-4	INVESTIGATING AGENCY: CBI ENFORCEMENT DIRECTORATE CRIMINAL INVESTIGATION DEPARTMENT SERIOUS FRAUD INVESTIGATION OFFICE SPECIAL INVESTIGATION TEAM MISCELLANEOUS OTHERS LIKE ROC, RBI, SEBI, RCS.
Special Officer and Competent Authority	JS	AC/D YSP	TAH- 5/LO/L			COURT CASES : HIGH COURT, SUPREME COURT AND OTHER COURTS

	JS	AC	A	SHR-2	CW-3	SPECIAL COURT PETITIONS (KPID UNDER SECTIONS 5(2), 13, 7(1), 8, 11 AND MISL.
Special Officer and Competent Authority	JS/AC	ACTR	--	AS-2	--	CLAIM: 1. VERIFICATION 2. PAYMENT 3. APPLICATION 4. GRIEVANCES (RELATING TO CLAIMS) 5. MISCELLANEOUS
	JS/AC	ACTR	--	AS-2	FORENSIC AUDIT OR	FORENSIC AUDIT
	JS	AC	TAH-5	--	CW-4	PUBLIC GRIEVANCES (MISCELLANEOUS)

F.AGRI GOLD SECTION

Basic Head	Office r-3	Office r-2	Officer -1	Shirastedar r /Accounts Superintendent	Case worker	Subject
Special Officer and Competent Authority	J S	AC/D YSP	TAH- 3/INSP	--	CW-2	<p>AD-INTERIM ATTACHMENT (KPID):</p> <p>NEW PROPOSAL</p> <p>VALUATION</p> <p>AFFIXTURE</p> <p>RECORDS OF RIGHTS ENTRY</p> <p>MAINTAINENCE (PROTECTION, MAINTENANCE & REVENUE COLLECTION)</p> <p>GRIEVANCES (RELATING TO AD-INTERIM ATTACHMENT ASPECTS)</p> <p>MISCELLANEOUS</p>

Special Officer and Competent Authority	JS	AC/D YSP	TAH-3/INSP	AO/DGM	CW-2	RECOVERY: VALUATION ACUTION SEIZURE (BEFORE S3) GRIEVANCES (RELATING TO RECOVERY ASPECTS) MISCELLANEOUS
Special Officer and Competent Authority	JS	AC/D YSP	TAH-3/INSP	--	CW-2	INVESTIGATING AGENCY: CBI ENFORCEMENT DIRECTORATE CRIMINAL INVESTIGATION DEPARTMENT SERIOUS FRAUD INVESTIGATION OFFICE SPECIAL INVESTIGATION TEAM MISCELLANEOUS OTHERS LIKE ROC, RBI, SEBI, RCS.
Special Officer and Competent Authority		AC/D YSP	TAH-3/LO/L			COURT CASES : HIGH COURT, SUPREME COURT AND OTHER COURTS

	JS		A	SHR-2	--	SPECIAL COURT PETITIONS (KPID) UNDER SECTIONS 5(2), 13, 7(1), 8, 11 AND MISL.
Special Officer and Competent Authority	JS/AC	ACTR	--	AS-2	--	CLAIM: 1. VERIFICATION 2. PAYMENT 3. APPLICATION 4. GRIEVANCES (RELATING TO CLAIMS) 5. MISCELLANEOUS
	JS/AC	ACTR	--	AS-2	FORENSIC AUDIT OR	FORENSIC AUDIT
	JS	AC	TAH-3	--	CW-2	PUBLIC GRIEVANCES (MISCELLANEOUS)

Abbreviations:

1. SPLO and CA – Special Officer and Competent Authority
2. JS –Joint Secretary
3. AC-Assistant Commissioner
4. TAH-Tahsildar
5. INSP-Inspector
6. DYSP-Deputy Superintendent of Police
7. DGM-Deputy General Manager
8. LA-Legal Advisor
9. LO-Law officer
10. ACTR-Assistant Controller
11. AO-Accounts Officer
12. AS-Accounts Superintendent

- 13. SHR-Shirastedar
- 14. CW-Case worker



Special Officer and Competent Authority
(IMA and other scams) 

Annexure -4

As per RTI Act 2005 4(1) B (iv)

The norms set by it for the discharge of its functions;

Mandate given to this office is to settle the citizens' claims arising out of financial scams related to the finance institutions viz IMA, Injaz International, Vikram Investments and Agrigold. Settlement of claims is done through proceeds realized from sale of attached assets as per the orders of the Special Court.


Special Officer and Competent Authority
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Annexure-5

As per RTI Act 2005 4(1) B (v)

The rules, regulations, instructions, manuals and records held by it or under its control or used by its employees for discharging its functions:

1. Karnataka Protection of Interest of Depositors in Financial Establishments Act (KPIDEE Act)
2. Banning of Unregulated Deposit Schemes Act-2019 (BUDS Act).
3. The Securitization and Reconstruction of Financial Assets and Enforcement of Securities Interest Act, 2002 (SARFAESI).
4. Companies Act.
5. Karnataka Civil Service Rules 1957 and subsequent amendments.
6. Karnataka Treasury Code 1958 and subsequent amendments.
7. Karnataka Finance code 1958 and subsequent amendments.
8. Manual of Contingent Expenditure.
9. Budget manual.
10. Karnataka Transparency in Public Procurement act.
11. Classification, Control and Appeal rules.
12. Manual of Office procedures.
13. Delegation of Financial Powers issued by Finance Department / and Administrative power delegated by DPAR
14. Karnataka budget manual 1975 with amendments from time to time.



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Annexure-6

As per RTI Act 2005 4(1) B (vi)


Statement of the categories of documents that are held by it or under its control;

A. List of acts, government orders, court orders and public notifications etc.

S. No.	Notification Description	Notification Number
1	Paper Advertisement Kannada (E-Action)	<u>Paper Advertisement Kannada dated 02-08-2021</u>
2	Paper Advertisement English (E-Action)	<u>Paper Advertisement English dated 02-08-2021</u>
3	Paper Advertisement Kannada	<u>Paper Advertisement Kannada dated 23-03-2021</u>
4	Paper Advertisement English	<u>Paper Advertisement English dated 23-03-2021</u>
5	Court Order	<u>Court Order dated 17-02-2021</u>
6	KPIDE Act Amendment 2021	<u>KPIDE Act Amendment</u>
7	Miscellaneous Petition 96/2021 Order dated 17/02/2021	<u>Miscellaneous Petition</u>
8	Press Note Dated : 12.11.2020 (Kannada)	<u>Press Note(Kannada)</u>
9	Press Note Dated : 12.11.2020 (English)	<u>Press Note(English)</u>
10	SCHEDULE 'A' Consent Form Format	<u>SCHEDULE 'A' Consent Form Format</u>
11	Public Notice (E-Auction) Dated : 09.10.2020 (English)	<u>No. I.M.A/CR/2020-21 Dated:09.10.2020 (English)</u>
12	Public Notice (E-Auction) Dated : 09.10.2020 (Kannada)	<u>No. I.M.A/CR/2020-21 Dated:09.10.2020 (Kannada)</u>
13	Public Notice (E-Auction) Dated : 19.09.2020 (English)	<u>No. I.M.A/CR/2020-21 Dated:19.09.2020 (English)</u>
14	Public Notice (E-Auction) Dated : 19.09.2020 (Kannada)	<u>No. I.M.A/CR/2020-21 Dated:19.09.2020 (Kannada)</u>
15	Public auction of various movable assets in Frontline Pharmacy, IMA Gold, IMA Council Charitable Society Furniture Godown & IMA Jewelers shop by Tahsildar, Bengaluru South	<u>No. M.S.C/CR/01/2019-20 Dated:03.08.2020</u>
16	Public auction of various movable assets in The Rayyan Luxury Oudh & Perfumes shop by Tahsildar, Bengaluru East	<u>No. M.S.C/CR/15/2019-20 Dated:03.08.2020</u>

17	Public auction of various movable assets in Frontline Pharmacy and Siyasat newspaper office by Tahsildar, Yelahanka	<u>No. M.S.C/CR/51/2019-20</u> <u>Dated:04.08.2020</u>
18	Public auction of various movable assets in Frontline Pharmacy & IMA Gold Shop Bengaluru North Taluk	<u>No. M.S.C/CR/07/2019-20</u> <u>Dated:03.08.2020</u>
19	Public Auction Dated:12.03.2020	<u>No. M.S.C/CR/51/2019-20</u> <u>Dated:12.03.2020</u>
20	Public Auction Notification Dated:09.03.2020 (Kannada)	<u>No. MSC/CR/07/2019-20</u> , <u>Dated:16.03.2020</u>
21	Government attaches the movable property believed to belong to M/s I Monetary Advisory (IMA) and its group entities. (Seized Vehicle No.: KA 51 AB 5637)	<u>RD 20 GRC 2017(P-2)</u> , <u>Bengaluru,Dated:05.12.2019</u>
22	Government attaches the movable & immovable properties believed to belong to M/s I Monetary Advisory (IMA) and its group entities, either in its own name or in the name of any other person from and out of the deposit collected by the IMA and its group entities.	<u>RD 20 GRC 2017(P-2)</u> , <u>Bengaluru,Dated:25.09.2019</u>
23	Government attaches the properties (Land, movable /immovable property, cash & others from and out of the deposits collected from the depositors) believed to have been acquired by the IMA and its group entities.	<u>RD 20 GRC 2017(P-2)</u> , <u>Bengaluru,Dated:06.08.2019</u>





Special Officer and Competent Authority
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Annexure-7

As per RTI Act 2005 4(1) B (vii)

The particulars of any arrangement that exists for consultation with, or representation by, the members of the public in relation to the formulation of its policy or implementation thereof;

--NOT APPLICABLE--




Special Officer and Competent Authority
(IMA and other scams) 

Annexure-8

As per RTI Act 2005 4(1) B (viii)

Statement of the boards, councils, committees and other bodies consisting of two or more persons constituted as its part or for the purpose of its advice, and as to whether meetings of those boards, councils, committees and other bodies are open to the public, or the minutes of such meetings are accessible for public;

--NOT APPLICABLE--


Special Officer and Competent Authority
(IMA and other scams) 



Annexure-9

**As per RTI Act 2005 4(1)B (ix) Directory of its officers and employees; and
4(1)B (x) the monthly remuneration received by each of its officers and
employees, including the system of compensation as provided in its
regulations(As on 25.08.2021)**

Sl.No	Name of the officer/Staff Shri/Shrimathi	Designation	Pay Scale	E mail
1	ಹರ್ಷ ಗುಪ್ತ Harsh Gupta	ವಿಶೇಷ ಅಧಿಕಾರಿ ಮತ್ತು ಸಕ್ಷಮ ಪ್ರಾಧಿಕಾರಿ Special Officer and Competent Authority	144200- 218200	splocaima- 21@ka.gov.in
2	ದಯಾನಂದ ಭಂಡಾರಿ Dayananda Bhandari	ಸಹಾಯಕ ಆಯುಕ್ತರು(ಹೆಚ್ಚುವರಿ ಪ್ರಭಾರ ಜಂಟಿ ಕಾರ್ಯದರ್ಶಿ) Assistant Commissioner (Additional charge of Joint Secretary)	74400- 109600	splocaima- 21@ka.gov.in
3	ಶಿವಕುಮಾರ ಕೆ.ಆರ್. Shivakumar K.R.	ಉಪ ನಿಯಂತ್ರಕರು Assistant Controller	67550- 104600	splocaima- 21@ka.gov.in
4	ಎಸ್.ಎಂ.ಶಿವಕು ಮಾರ Shivakumar S.M.	ತಹಸೀಲ್ದಾರ್ ಗ್ರೇಡ್ -1 Thahsildar grade-1	52650- 97100	splocaima- 21@ka.gov.in
5	ನಾಗರಾಜ ಕೆ. Nagaraj K.	ತಹಸೀಲ್ದಾರ್ ಗ್ರೇಡ್ -1 Thahsildar grade-1	43100- 83900	splocaima- 21@ka.gov.in
6	ವೆಂಕಟೇಶ ಕೆ. Venkatesh K.	ಲೆಕ್ಕ ಪರಿಶೋಧನಾಧಿಕಾರಿ Audit Officer	43100- 83900	splocaima- 21@ka.gov.in
7	ಭಾಸ್ಕರ್ ಬಿ. Bhaskar B.	ಲೆಕ್ಕಾಧೀಕ್ಷಕರು Accounts	40900- 78200	splocaima- 21@ka.gov.in

		Superintendent		
8	ಹೇಮಂತ್ ಕುಮಾರ್ Hemanthkumar	ಲೆಕ್ಕಾಧೀಕ್ಷಕರು Accounts Superintendent	40900- 78200	splocaima- 21@ka.gov.in
9	ಎಂ.ಮಲ್ಲೇಶ್ M.Mallesh	ಶಿರಸ್ತೇದಾರ್(ಆಡಳಿತ) Shirastedar(Administra tion)	37900- 70850	splocaima- 21@ka.gov.in
10	ಶೋಭಾ ಕೆ. Shobha K.	ಶಿರಸ್ತೇದಾರ್(ಕೋರ್ಟ್) Shirastedar (Court)	37900- 70850	splocaima- 21@ka.gov.in
11	ಎಂ.ಆರ್.ರಾಜೇಂದ್ರ ಪ್ರಸಾದ್ M.R.Rajendra prasad	ಪ್ರಥಮ ದರ್ಜೆ ಸಹಾಯಕರು First Division Assistant	27650- 52650	splocaima- 21@ka.gov.in
12	ಚೇತನ್ ಕುಮಾರ್ ಡಿ.ಸಿ. Chethan kumar D.C.	ಲೆಕ್ಕ ಸಹಾಯಕರು Accounts Assistant	27650- 52650	splocaima- 21@ka.gov.in
13	ವಿನೋದ್ ಜಿ. Vinod G.	ದ್ವಿತೀಯ ದರ್ಜೆ ಸಹಾಯಕರು Second Division Assistant	21400- 42000	splocaima- 21@ka.gov.in
14	ಎಂ.ಶಶಿಕುಮಾರ್. M.Shashikumar	ಕಿರಿಯ ಸಹಾಯಕರು Junior Assistant	21400- 42000	splocaima- 21@ka.gov.in
15	ಗುರುಲಿಂಗಪ್ಪ ಬಿರಾದಾರ Gurulingappa Biradar	ಕಿರಿಯ ಸಹಾಯಕರು Junior Assistant	21400- 42000	splocaima- 21@ka.gov.in

Office contact numbers for these officers and employees: **080-29565353, 080-29566556 and 080-29604556.**


Special Officer and Competent Authority
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**Special Officer and Competent Authority
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Annexure-10

As per RTI Act 2005 4(1) B (xi)

The budget allocated to each of its agency, indicating the particulars of all plans, proposed expenditures and reports on disbursements made;

A. Budget for Salary: No separate grants are provided for salary component. The expenditure is meted out of the funds provided to the Office of the Regional Commissioner, Bengaluru Division. (HOA 2053-00-101-0-05)

B. Budget for non-salary (office maintenance): For the year 2021-22 total sum of 358 lakhs is provided.


**Special Officer and Competent Authority
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Annexure-11

As per RTI Act 2005 4(1) B (xii)

The manner of execution of subsidy programs, including the amounts allocated and the details of beneficiaries of such programs;

--NOT APPLICABLE--


**Special Officer and Competent Authority
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Annexure-12

As per RTI Act 2005 4(1) B (xiii)

**Particulars of recipients of concessions, permits or authorizations granted
by it;**

--NOT APPLICABLE--



**Special Officer and Competent Authority
(IMA and other scams)** 


Annexure-13

As per RTI Act 2005 4(1) B (xiv)

**Details in respect of the information available to or held by it, reduced in an
electronic form;**

The relevant circulars, Notifications, Court orders, public notices, newspaper advertisements, press notes, public auction notifications, attachment orders etc. are published in the official website imaclaims.karnataka.gov.in



**Special Officer and Competent Authority
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Annexure-14

As per RTI Act 2005 4(1) B (xv)



The particulars of facilities available to citizens for obtaining information, including the working hours of a library or reading room, if maintained for public use;

The citizens may obtain information through any of the following facilities;

- Refer the web site: <https://imaclaims.karnataka.gov.in>
- Call the Help desk numbers 080- 46885959 (Monday to Saturday)
(9:00 AM to 6:00 PM)

- Office numbers (10 AM to 5.30 PM on all working days)
080- 29565353
080-29566556
080-29604556

- E-mail : splocaima20@gmail.com
- whatsapp number : 7975568880


Special Officer and Competent Authority
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Annexure-15

As per RTI Act 2005 4(1) B (xvi)

The names, designations and other particulars of the Public Information Officers;

Role	Designation	Contact number	E mail.
Public Information Officer	Public Relation Officer, o/oSpecial Officer and Competent Authority(IMA and other scams)	080- 29565353 080-29566556 080-29604556	splocaima20@gmail.com
Appellate Authority	Assistant Commissioner o/oSpecial Officer and Competent Authority(IMA and other scams)	080- 29565353 080-29566556 080-29604556	splocaima20@gmail.com

**Special Officer and Competent Authority
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Annexure-16

As per RTI Act 2005 4(1) B (xvii)

Such other information as may be prescribed; and thereafter update these publications every year;

-NIL-

**Special Officer and Competent Authority
(IMA and other scams)**